

"PATENT"**DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled .

Catalyst Precursor And Olefin Polymerization Processes

the specification of which is attached hereto unless the following box is checked:

[] was filed on _____ as Application Serial No. or PCT International Application No. _____
and was amended on N/A (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate(s), or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application(s) for patent or inventor's certificate(s), or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)**Priority Claimed**

_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	[] Yes	[] No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	[] Yes	[] No

I hereby claim the benefit under 35 U.S.C. § 119(e)(1)-(2) of any United States provisional application(s) listed below.

_____ (Application Number)	_____ (Filing Date)
_____ (Application Number)	_____ (Filing Date)

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

_____ (Application Serial No.)	_____ (Filing Date)	_____ (Status - patented, pending, abandoned)
_____ (Application Serial No.)	_____ (Filing Date)	_____ (Status - patented, pending, abandoned)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

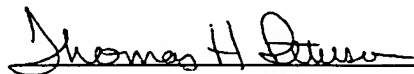
NAMES	REGISTRATION NUMBERS
Lisa Kimes Jones	41,878
Darrell E. Warner	36,046
Raul R. Montes	32,545
Osborne McKinney	40,084

SEND CORRESPONDENCE TO:	DIRECT TELEPHONE CALLS TO: (Name and Telephone Number)
Univation Technologies LLC 5555 San Felipe, Suite 1950 Houston, Texas 77056	Lisa Kimes Jones Phone No.: (713) 892-3729 Facsimile No.: (713) 892-3687

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF INVENTOR	LAST NAME Peterson	FIRST NAME Thomas		MIDDLE NAME H.
RESIDENCE & CITIZENSHIP	CITY Charleston	STATE OR FOREIGN COUNTRY West Virginia		COUNTRY OF CITIZENSHIP United States of America
POST OFFICE ADDRESS	POST OFFICE ADDRESS 1311 Wychwood Road	CITY Charleston	STATE OR COUNTRY West Virginia	ZIP CODE 25314

Inventor's signature



Date

10/07/01



MARCH 02, 2002

PTAS

Chief Information Officer
Washington, DC 20231
www.uspto.gov

UNIVATION TECHNOLOGIES LLC.
LISA KIMES JONES
5555 SAN FELIPE, SUITE 1950
HOUSTON, TEXAS 77056

DATE DOCKETED

3/8/02



101941982A

By *jsk*

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/07/2002

REEL/FRAME: 012434/0309

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

PETERSON, THOMAS H.

DOC DATE: 10/25/2001

ASSIGNEE:

UNIVATION TECHNOLOGIES, LLC
5555 SAN FELIPE, SUITE 1950
HOUSTON, TEXAS 77056

SERIAL NUMBER: 09976606

FILING DATE: 10/12/2001

PATENT NUMBER:

ISSUE DATE:

MARCUS KIRK, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

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01-11-2002

FORM PTO-1595

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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/ER SHEET

PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Thomas H. Peterson

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other
Execution Date: October 25, 2001

2. Name and address of receiving party(ies):

Name: **Univation Technologies, LLC**Street Address: **5555 San Felipe, Suite 1950**City: **Houston** State: **Texas** ZIP: **77056**Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is 2002A. Patent Application No.(s)
09/976,606

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning documents should be mailed:

Name: **Lisa Kimes Jones**
Internal Address: **Univation Technologies LLC.**
Mailing Address: **5555 San Felipe, Suite 1950**
City: **Houston** State: **Texas** ZIP: **77056**

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 1.41): **\$40.00**☒ Authorized to be charged to deposit account8. Deposit account number: **50-0589**

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Lisa Kimes Jones

Name of Person Signing

Signature
November 7, 2001

Date

Total number of pages including cover sheet, attachments, and document: [2]

405

Mail documents to be recorded with required cover sheet information to:

COMMISSIONER OF PATENTS
BOX ASSIGNMENT
WASHINGTON DC 20231

01/10/2002 6TON11 00000194 500589 09976606

01 FC:581 40.00 CH

ASSIGNMENT

INVENTOR or INVENTORS:

Thomas H. Peterson

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned person(s) named above, herein referred to as UNDERSIGNED, hereby agree(s) as follows:

1) UNDERSIGNED hereby assign(s) to Univation Technologies, LLC, a Delaware company, its successors, legal representatives and assigns, herein referred to collectively as ASSIGNEE, the entire right, title and interest, for the United States and all foreign countries, in and to UNDERSIGNED'S invention or improvement and to all patent applications and patents covering same which is described in a patent application entitled

Catalyst Precursor And Olefin Polymerization Processes

said application having been executed on the _____ day(s) of _____, 2001, and being further identified as Case No. 2001U007.US, U.S.S.N. 09/976,606, filed October 12, 2001; and all rights of priority created by said application under provisions of international conventions or treaties.

2) UNDERSIGNED agree(s) upon the request of ASSIGNEE to execute any and all applications for and documents relating to obtaining patents and the prosecution thereof for said invention or improvement, and any continuations of such applications or substitutes therefor or registrations thereof, and any specific separate assignments of any of the above required for recording in the United States Patent and Trademark Office and any foreign patent office that ASSIGNEE may deem necessary or expedient.

3) UNDERSIGNED agree(s) that in the event of any application based on said assigned invention or improvement, or patent issued thereon, or any reissue or application for the reissue thereof, becoming involved in priority of invention proceedings, UNDERSIGNED will cooperate with ASSIGNEE to the best of the ability of UNDERSIGNED in the matter of preparing and executing all documents and giving and producing evidence in support thereof.

4) UNDERSIGNED agree(s) to perform upon ASSIGNEE'S request any and all affirmative acts to obtain United States and foreign patents covering said invention or improvement and to vest all rights therein hereby conveyed to ASSIGNEE, as fully and as entirely as the same would have been held and enjoyed by UNDERSIGNED if this assignment and sale had not been made.

5) UNDERSIGNED hereby authorize(s) ASSIGNEE's attorney to insert at paragraph 1, in this instrument the date(s) on which UNDERSIGNED executed the Declaration for the above-referenced patent application, as well as the filing date and application number thereof when known.

IN WITNESS WHEREOF, this assignment has been executed by UNDERSIGNED on the date opposite UNDERSIGNED'S NAME.

DATE

10/25/01

Thomas H. Peterson
Thomas H. Peterson

[Signature]
Witness

(LS)